

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
as on the financial year ended on 31.03.2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i. CIN: U20219WB1985PLC096557
 ii. Registration Date: 26-03-85
 iii. Name of the Company: ASSAM ENTRADE LIMITED
 iv. Category / Sub-Category of the Company: COMPANY LIMITED BY SHARES
 v. Address of the Registered office and contact details: 16, TARA CHAND DUTTA STREET,
 2ND FLOOR,
 KOLKATA-700073
 vi. Whether listed company Yes / No: Unlisted
 vii. Name, Address and Contact details of Registrar and Transfer Agent, if any: ABS Consultanat Private Limited.; Regd Ofc: "Stephen House", Room
 No.99, 6th Floor,4, B.B.D Bag (East), Kolkata-700091

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Security and commodity contracts brokerage	6612	100
2	Other credit granting	6492	
3	Activities of holding companies	6420	
4	Other monetary intermediation	6419	

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	Navina Sales Private Limited	U51109WB2007PTC113212	Associates	23.63	2(6)
3	Spark Finviz P Ltd	U65993WB1992PTC099539	Associates	26.77	2(6)
4	Sumera Commosales Private Limited	U52100WB2010PTC144025	Subsidiary	55.56	2(87)
4	Pacific Barter Private Limited	U51909WB2009PTC131880	Subsidiary		2(87)

V. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Share holders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
1) Indian									
a) Individual/HUF	395678	129886	525564	36.50	395678	129886	525564	36.50	0.00
b) Central Govt									0
c) State Govt									0
d) Bodies Corp.		222716	222716	15.47		222716	222716	15.47	0
e) Banks / FI									0
f) Any Other		0	0	0.00		0	0	0.00	0
Sub-total (A)(1)	395678	352602	748280	51.97	395678	352602	748280	51.97	0
2) Foreign									
a) NRIs -Individual									0
b) Other -- Individual									0
c) Bodies Corp.									0
d) Banks / FI									0
e) Any Other									0
Sub-total (A) (2)	0	0	0	0	0	0	0	0	0
Total shareholding of (A)=(1)+(2)	395678	352602	748280	51.97	395678	352602	748280	51.97	0

B. Public Shareholding									
I. Institutions									
a)	Mutual Funds								0
b)	Banks / FI								0
c)	Central Govt								0
d)	State Govt(s)								0
e)	Venture Capital Funds								0
f)	Insurance Companies								0
g)	FII's								0
h)	Foreign Venture Capital								0
i)	Funds Others (specify)								0
Sub-total (B)(1)		0	0	0	0	0	0	0	0
2. Non-Inst.									
a)	Bodies Corp.								0
i)	Indian	0	154700	154700	10.74462248	0	154700	154700	10.74462248
ii)	Overseas								0
b)	Individuals								0
i)	Individual shareholders holding nominal share capital upto Rs. 1 lakh	994	58676	59670	4.14	994	58676	59670	4.14
ii)	Individual share holders holding nominal share capital in excess of Rs 1 lakh	0	477140	477140	33.13955507	0	477140	477140	33.14
c)	Others (FIRM)	0	0	0	0.00	0	0	0	0.00
Sub-total (B)(2)		994	690516	691510	48.03	994	690516	691510	48.03
Total Public Shareholding B=(B)(1)+(B)(2)		994	690516	691510	48.03	994	690516	691510	48.03
C.									
	Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0
Grand Total (A+B+C)		396672	1043118	1439790	100	396672	1043118	1439790	100

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in share holding during the year
1	VISHWANATH GUPTA	166	0.01		166	0.01		0.00
2	GOPI KISHAN GUPTA	333	0.02		333	0.02		0.00
3	SIDDARTH SIDDARTH	500	0.03		500	0.03		0.00
4	JAGDISH PRASAD GUPTA	750	0.05		750	0.05		0.00
5	JAGDISH PRASAD GUPTA	833	0.06		833	0.06		0.00
6	VISHWANATH GUPTA	1066	0.07		1066	0.07		0.00
7	SURESH CHAND GUPTA	1249	0.09		1249	0.09		0.00
8	JAGDISH PRASAD GUPTA	1332	0.09		1332	0.09		0.00
9	VISHWANATH GUPTA	1450	0.10		1450	0.10		0.00
10	RAMESH CHAND GUPTA	3000	0.21		3000	0.21		0.00
11	SURESH CHAND GUPTA	3500	0.24		3500	0.24		0.00
12	SIDDHARTH GUPTA	4807	0.33		4807	0.33		0.00
13	EKTA GUPTA	4807	0.33		4807	0.33		0.00
14	SITA GUPTA	4807	0.33		4807	0.33		0.00
15	URMILA DEVI	4807	0.33		4807	0.33		0.00
16	RATI GUPTA	4807	0.33		4807	0.33		0.00
17	ANKITA GUPTA	4807	0.33		4807	0.33		0.00
18	JAYESH GUPTA	4807	0.33		4807	0.33		0.00
19	SHREYANSH GUPTA	4807	0.33		4807	0.33		0.00
20	NANDAN GUPTA	4807	0.33		4807	0.33		0.00
21	MAHIMA GUPTA	4807	0.33		4807	0.33		0.00
22	ARYAN GUPTA	4807	0.33		4807	0.33		0.00
23	ADITI GUPTA	4807	0.33		4807	0.33		0.00
24	PARIDHI GUPTA	4807	0.33		4807	0.33		0.00
25	SIMRAN GUPTA	4807	0.33		4807	0.33		0.00
26	SHIVVOY GUPTA	4807	0.33		4807	0.33		0.00
27	SADHVI GUPTA	4807	0.33		4807	0.33		0.00
28	SUPARNA GUPTA	4807	0.33		4807	0.33		0.00
29	JAGDISH PRASAD GUPTA	5000	0.35		5000	0.35		0.00
30	JAGDISH PRASAD GUPTA	5000	0.35		5000	0.35		0.00
31	JAGDISH PRASAD GUPTA	5000	0.35		5000	0.35		0.00
32	VISHWANATH GUPTA	5000	0.35		5000	0.35		0.00
33	SURESH CHAND GUPTA	5000	0.35		5000	0.35		0.00
34	RAMESH CHAND GUPTA	5320	0.37		5320	0.37		0.00

35	JAGDISH PRASAD GUPTA			5333	0.37		5333	0.37	0.00
36	GOPIKISHAN GUPTA			5750	0.40		5750	0.40	0.00
37	JAGDISH PRASAD GUPTA			6416	0.45		6416	0.45	0.00
38	GOPIKISHAN GUPTA			6583	0.46		6583	0.46	0.00
39	JAGDISH PRASAD GUPTA			8416	0.58		8416	0.58	0.00
40	URMILA DEVI GUPTA			9990	0.69		9990	0.69	0.00
41	GOPI KISHAN GUPTA			10000	0.69		10000	0.69	0.00
42	RAMESH CHAND GUPTA			10969	0.76		10969	0.76	0.00
43	VISHWANATH GUPTA			11499	0.80		11499	0.80	0.00
44	MAMTA NISHANT			12050	0.84		12050	0.84	0.00
45	MAMTA GUPTA			12611	0.88		12611	0.88	0.00
46	GOPI KISHAN GUPTA			15000	1.04		15000	1.04	0.00
47	JAGDISH PRASAD GUPTA			26567	1.85		26567	1.85	0.00
48	VISHWANATH GUPTA			29925	2.08		29925	2.08	0.00
49	NISHANT GUPTA			36481	2.53		36481	2.53	0.00
50	SHASHI GUPTA			54166	3.76		54166	3.76	0.00
51	SURESH CHAND GUPTA			59997	4.17		59997	4.17	0.00
52	VISHWA NATH GUPTA			77593	5.39		77593	5.39	0.00
53	MANTORA OIL PRODUCTS LIMITED			34166	2.37		34166	2.37	0.00
54	SPARK FINWIZ LIMITED			55000	3.82		55000	3.82	0.00
55	DHARAM PORTFOLIO PVT LTD			133550	9.28		133550	9.28	0.00
	Total			748280	51.97		748280	51.97	0.60

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	748280	51.97	--	--
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): Details, if any, are annexed			--	--
	At the End of the year	748280	51.97	--	--

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Shareholding at the beginning of the year	Cumulative Shareholding during the year
For Each of the Top 10 Shareholders	No. of shares	% of total shares of the company
At the beginning of the year		
Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):		
At the End of the year (or on the date of separation, if separated during the year)		

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Shareholding at the beginning of the year	Cumulative Shareholding during the year
For Each of the Directors and KMP	No. of shares	% of total shares of the company
At the beginning of the year		
Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):		
At the End of the year (or on the date of separation, if separated during the year)		

4. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	0	51332126		51332126.00
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	0	51332126	0	51332126.00
Change in Indebtedness during the financial year				
> Addition	3518936	0		3518936.00
> Reduction	0	23926007		23926007.00
Net Change	3518936	-23926007	0	-20407071.00
Indebtedness at the end of the financial year				
i) Principal Amount	3518936	27406119	0	30925055.00
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	3518936	27406119	0	30925055.00

5. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Remuneration to Managing Director, Whole-time Directors and/or Manager:

no.	Particulars of Remuneration	Name of MD/WTD/ Manager/other executive directors	Total Amount
	Nishant Gupta		
	Gross salary	2,400,000.00	2,400,000.00
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		

Director

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the	No. of shares	% of total shares of the
1	NISHANT GUPTA	At the beginning of the year	36481	2.53	36481	2.53
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		0.00
		At the End of the year (or on the date of separation, if separated during the year)	36481	2.53	36481	2.53
2	JAYESH GUPTA	At the beginning of the year	4807	0.33	4807	0.33
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):		0.00		0.00
		At the End of the year (or on the date of separation, if separated during the year)	4807	0.33	4807	0.33
3	RATI GUPTA	At the beginning of the year	4807	0.33	4807	0.33
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		0.00
		At the End of the year (or on the date of separation, if separated during the year)	4807	0.33	4807	0.33

For and on behalf of the Board

For ASSAM ENTRADE LIMITED

For Assam Entrade Limited

Place: Kanpur
Date: 29.06.2019


NISHANT GUPTA
(Managing Director)
DIN: 00326317


JAYESH GUPTA
(Director)
DIN: 01113988

ASSAM ENTRADE LIMITED

16, TARA CHAND DUTTA STREET, 2ND FLOOR, KOLKATA-700073

CIN:U20219WB1985PLC096557

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of	No. of shares	% of total shares
1	ANJU AGARWAL	At the beginning of the year	70,833	4.92		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	70,833	4.92		
2	PSJ INVESTMENT & SECURITIES LTD	At the beginning of the year	55000	3.82		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	55000	3.82		
3	DINESH KUMAR GUPTA	At the beginning of the year	48,950	3.40		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	48,950	3.40		
4	ARUNA GUPTA	At the beginning of the year	46,750	3.25		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	46,750	3.25		
5	DHARAM PORTFOLIO (P) LTD	At the beginning of the year	106,050	7.37		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	106,050	7.37		
6	VISHWANATH GUPTA	At the beginning of the year	72,600	5.04		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	72,600	5.04		
7	ROHIT GUPTA	At the beginning of the year	70,679	4.91		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	70,679	4.91		
8	SURESH CHAND GUPTA	At the beginning of the year	59,997	4.17		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	59,997	4.17		
9	SPARK FINWIZ PRIVATE LIMITED	At the beginning of the year	55,000	3.82		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of separation, if separated during the year)	55,000	3.82		

ASSAM ENTRADE LIMITED

16, TARA CHAND DUTTA STREET, 2ND FLOOR, KOLKATA-700073

CIN: U20219WB1985PLC096557

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of	No. of shares	% of total shares
10	SHASHI GUPTA	At the beginning of the year	48,500	3.37		
		Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):	0	0.00		
		At the End of the year (or on the date of	48,500	3.37		

Place: Kanpur
Date: 29.06.2019

For ASSAM ENTRADE LIMITED

NISHANT GUPTA
(Managing Director)
DIN: 00326317

For and on behalf of the Board

For Assam Entrade Limited

JAYESH GUPTA
(Director)
DIN: 01113988

Director